

02-16-01

A

**UNITED STATES PATENT APPLICATION TRANSMITTAL FORM**

**BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Docket No.: YOR920000719US1

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): John F. Morar, David M. Chess, Aaron Kershenbaum, Edward J. Pring, Ian N. Whalley and Steve R. White

For: **SYSTEM AND APPARATUS FOR INDEPENDENT FILTERING OF  
E-COMMERCE TRANSACTIONS**

Enclosed are:

**XXX** Specification (32 pps.) consisting of: Description (24 pps); Claims (7 pps);  
Abstract (1 pp);

**XXX** 7 sheets of drawings;

**XXX** Declaration and Power of Attorney;

**XXX** An assignment of the invention: **Assignee Name: International  
Business Machines Corporation** **Assignee Residence: Armonk, New  
York**, including a \$40.00 recordation fee and Assignment Recordation  
Form Cover Sheet;

       Information Disclosure Statement (with a copy of a patent);

       Form - PTO-1449;

       Verified Statement Claiming Small Entity Status; and

       Priority of U.S. Patent Application Serial No.                 , filed on  
is claimed under 35 U.S.C. §120.

       Priority of U.S. Provisional Patent Application Serial No.                 , filed  
on                  is claimed under 35 U.S.C. §119(e).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	31 - 20 =	11	x \$18.00	\$198.00
Independent Claims	5 - 3 =	2	x \$80.00	\$160.00
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$1,068.00</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$N/A
---------------------------------	-------

\_\_\_\_\_ No fee enclosed – filing by missing parts.

**XXX** A check in the amount of \$ 1,108.00 for the filing fee (\$1,068) and assignment recordal fee (\$40) is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Harry F. Smith, Esq.**  
**Ohlandt, Greeley, Ruggiero & Perle, L.L.P.**  
**One Landmark Square, 10th Floor**  
**Stamford, Connecticut 06901-2682**  
**U.S.A.**  
**Telephone: (203) 327-4500**  
**Telefax: (203) 327-6401**

February 15, 2001  
Date of Signature




Harry F. Smith, Esq.  
Attorney for Applicant(s)  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Registration No. 32,493  
(203) 327-4500

#### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL671085955US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on February 15, 2001.

Victoria E. Roeser  
(Typed name of person mailing paper)

  
(Signature of person mailing paper)